# ACRC

A BIG STRIDE FORWARD FOR TRANSPARENCY AND PROTECTION OF CIVIL RIGHTS





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## Introduction

#### **Establishment**

The Anti-Corruption & Civil Rights Commission (ACRC) was launched on February 29, 2008, through the integration of the Ombudsman of Korea, the Korea Independent Commission Against Corruption, and the Administrative Appeals Commission.

**Anti-Corruption &** Civil Rights Commission

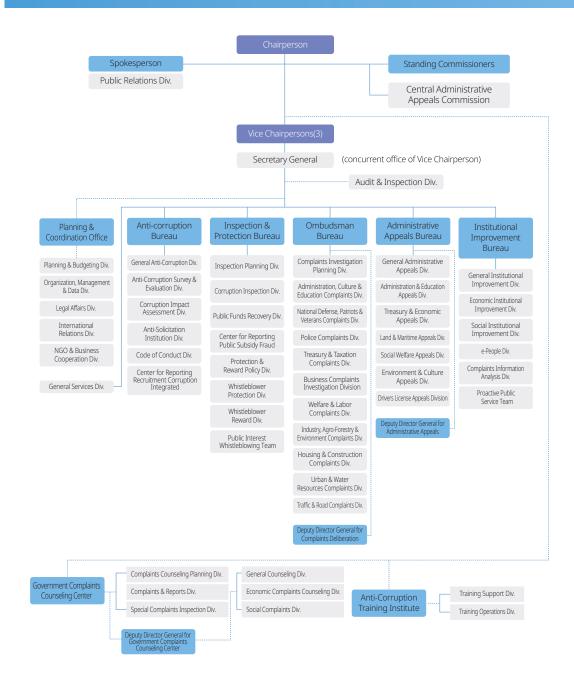
The purpose of the integration was to perform the duties of protecting citizens' rights at one agency in a more effective way which were handled by the three agencies: handling of civil grievances by Ombudsman of Korea; enhancement of national integrity level by Korea Independent Commission Against Corruption, and abjudication of administrative appeals by the Administrative Appeals Commission.

#### The ACRC performs the following four functions

- · Addressing civil complaints which cause inconvenience or burden to citizens
- · Preventing and deterring corruption in the public sector to make a clean society
- · Protecting people's rights from illegal or unfair administrative practices through the administrative
- · Making recommendations of improvement on unreasonable laws or regulations that can lead to civil complaints or corruption-prone environment
- \* Legal ground for the foundation of the ACRC: FAct on the Prevention of Corruption and the Establishment and Management of the ACRC」



## **Organizational Chart**



The ACRC consists of a total of 15 commissioners including the Chairperson (minister-level), 3 Vice-Chairpersons (vice minister-level), 3 Standing Commissioners and 8 Non-standing Commissioners. The status and independence in work of all the commissioners are guaranteed by the law.

#### **Number of Employees**

Total	Political service	Senior executive service	3.4	4	4.5	5	6 and under	Official with Special Experiences	Research service	Special service
566	4	17	14	36	42	206	235	8	1	3

## **Functions**

#### **Fighting Corruption**

#### **Evaluating effectiveness of the Anti-Corruption Measures**

#### **Comprehensive Integrity Assessment**

The ACRC conducts the Comprehensive Integrity Assessment on public organizations of each level based on comprehensive data which include survey of citizens who used public services and survey of employees of the public organizations and other stakeholders; anti-corruption initiative outcomes and achievements of public organizations; and statistics of corruption cases in public organizations of all levels. The objective is to facilitate and support voluntary anti-corruption efforts of public organizations in a proactive and preventive manner, moving from reactive anti-corruption policies that focus on detection and punishment.

Since 2002, the ACRC has operated the Integrity Assessment which measures corruption level of public institutions based on stakeholder survey and others, and the Anti-Corruption Initiative Assessment which quantitatively and qualitatively measures anti-corruption achievements and efforts of public organizations of all levels based on assessment criteria.

The ACRC integrated the two assessment that had been conducted for the past 20 years into the Comprehensive Integrity Assessment that has been carried out since 2022. Accordingly, the existing survey on the perception and experience of corruption is reflected as integrity perception, and the assessment of anti-corruption performance and efforts is reflected as integrity effort in the Comprehensive Integrity Assessment.

#### Comprehensive Integrity Assessment System (as of 2022)

Integrity Perception (60%)	Measuring perception and experience involving complainants and internal members regarding external duties and internal operation of the organization (survey)
Integrity effort (40%)	Assessing anti-corruption performance and efforts over the year based on the indicators developed in advance (performance evaluation)
(Deduction) Integrity realities (10% + a)	Reflecting the current status of corruption, such as disciplinary measures against corrupt behaviors and the results of audit, prosecution, and trial, as point deduction

The result of the assessment is announced as grades by types of institution, and integrity perception and integrity effort by institutions are published as grades as well as the Comprehensive Integrity Assessment.

#### **Conducting Corruption Risk Assessment**

The Corruption Risk Assessment is an analytical mechanism designed to preemptively identify and remove corruption-causing factors in laws and regulations. Using the assessment, all the proposed enactments and amendments as well as existing legislations are examined for any factor that could cause occurrence of corrupt practices. Moreover, the Corruption Risk Assessment also examines corruption-causing factors in local statutes of local governments and regulations of public institutions besides the legislation.

> "The ACRC promotes the level of integrity in society and resolves unfair factors concerning corruption"

#### Active Involvement of Civil Society, the General Public and the Private Sector

#### Promoting Public-Private Partnership to Fight Corruption

The ACRC promotes public-private governance against corruption by building networks with civil society organizations, economic circles and professional associations for communication and cooperation. The commission is also operating a program to support civil society organizations' voluntary efforts to spread a culture of integrity.

In addition, with an aim to spread and establish a corporate ethical culture, the ACRC publishes ACRC Integrity & Ethics Compliance Management Briefs that provides companies, economic organizations and academia, etc. with latest ethical management information at home and abroad every month. The commission is now working on the development and education of the Integrity & Ethics Compliance Program Guidelines to support state-owned enterprises and private enterprises in autonomously preventing, detecting, and improving their corruption risks.

#### Citizen Monitoring Group

The ACRC has established the "Citizen Monitoring Group on Integrity Policy," which consists of general people such as university and school students, workers and homemakers, and conducts citizen discussions and surveys to collect anti-corruption policy ideas from citizens.

#### Raising Public Awareness on Corruption Issues

The ACRC carries out a variety of public awareness programs to encourage citizen's cooperation and participation in enhancing national integrity. To raise awareness of the risks of corruption and establish a sustainable system to enhance national integrity, the commission focuses on anti-corruption education for public servants and students.

In particular, the ACRC made it mandatory for all public officials to take anti-corruption education for two hours or more every year. To provide supports in this regard, the ACRC trains integrity education instructors, provides various educational materials including lecture plans and videos, and monitors anticorruption educations of public organizations every year.

In addition, to provide professional and systemic anti-corruption education to public officials, young people, and ordinary citizens, the ACRC set up and has operated a dedicated anti-corruption training institution, the Anti-Corruption Training Institution (ACTI). The ACTI runs various educational programs for public officials, the general public, students, employees of state-owned enterprises, and foreign public officials. The ACTI has developed a pleasing variety of educational videos that are published on the ACTI website, YouTube and Internet Protocol Television (IPTV), including online drama series with integrity values inserted into the storylines to promote integrity. Further, the ACTI runs free online anti-corruption and integrity classes for the general public through Korea's major online course (MOOCs) sites, including K-MOOC.

"The ACRC supports voluntary partnerships and spreads culture of integrity."

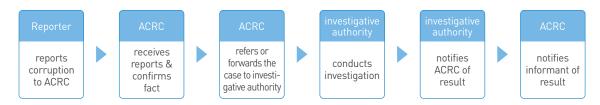
#### Monitoring and Detecting Acts of Corruption, etc.

#### **Reporting Corruption**

Any person may report the following act of corruption to the ACRC:

- (a) The act of any public official's abusing the position or authority or violating laws in connection with duties to seek gains for oneself or any third party
- (b) The act of inflicting damages on the property of public institutions in violation of laws, in the process of executing the budget of public institutions, acquiring, managing, or disposing of the property of public institutions, or entering into and executing a contract to which a public institution is a party
- (c) The act of coercing, urging, proposing and inducing the act above or act of covering it up

#### **How Corruption Reports are Processed**



#### **Corruption Report Cases**

Year	Received	Handled	Referred-forwarded	Notified as violations of the code of conduct
2009	2,693	2,695	926	47
2010	3,099	3,066	605	73
2011	2,529	2,546	601	80
2012	2,527	2,529	543	79
2013	3,735	3,670	335	43
2014	4,510	4,481	370	53
2015	3,885	3,904	418	75
2016	3,758	3,735	422	67
2017	4,066	3,966	348	48
2018	7,328	7,224	683	78
2019	9,435	8,718	784	190
2020	6,103	6,355	1,292	252
2021	9,690	10,176	2,280	361
2022	7,300	7,489	1,134	26

#### Reporting Violation of the Public Interest

Anyone who discovers a violation of the public interest can make a report to the ACRC, employer of a company where the violation occurred, supervisory institution, investigative authority, or National Assembly member, etc.

"Violation of the public interest" means an act of infringing on the health and safety of the public, the environment, consumer interests, fair competition, and public interest equivalent thereto and is subject to any penal provisions or an administrative action such as the cancellation or suspension of a permit or license, as defined in the Acts\* listed in an attached table of Protection of Public Interest Reporters Act J.

<sup>\*</sup> Agricultural Products Quality Control Act, Special Act on the Safety Control of Public Structures, Food Sanitation Act, Natural Environment Conservation Act, and other Acts (471 in total)

Public	Interest	Violation	Report	Cases
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Year	Received	Handled	Referred-forwarded
2011	292	227	151
2012	1,153	1,113	635
2013	2,887	2,509	1,500
2014	9,130	8,239	6,737
2015*	5,771	7,089	3,280
2016	2,611	2,560	1,234
2017	2,521	2.238	619
2018	3,923	3,952	980
2019	5,164	5,165	2,575
2020	5,546	5,563	3,604
2021	4,531	4,782	2,053
2022	3,266	3,262	1,552

<sup>\*</sup> The number of reports dropped after the Act was amended in July 2015, which limits those subject to receiving rewards to internal whistleblowers.

#### Reporting Public Subsidy Frauds

The ACRC receives public subsidy fraud reports through the Government Welfare Fraud Report Center established in 2013. Examples of subsidy frauds are as follows:

- · Fraudulent or illicit payment related to social security benefits (National Pension, National Health Insurance, Industrial Accident Compensation Insurance, Employment Insurance);
- · Fraudulent or illicit payment of public assistance (National Basic Living Security, Basic Pension for Senior Citizens, Medical Care Assistance)
- · Fraudulent or illicit payment of subsidy (aid) for welfare facilities
- · Fraudulent or illicit payment made in violation of Subsidy Management Act

#### **Public Subsidy Fraud Report Cases**

Year	Received	Handled	Referred·forwared
2013	145	101	22
2014	776	740	181
2015	861	865	262
2016	593	582	214
2017	960	892	234
2018	1,446	1,428	492
2019	1,537	1,527	546
2020	1,187	1,205	612
2021	1,598	1,639	637
2022	1,467	1,520	446

#### **Enacting Public Funds Recovery Act**

Along with the indispensable increase in welfare budget, there has been an increase in illicit claims for public finance. In order to recover and sanction illicitly claimed public funds, on April 16, 2019, the Act on Prohibition of False Claims for Public Funds and Recovery of Illicit Profits was enacted as a general law.

Under the Act, illicitly claimed profits and interest will be recovered entirely in cases where:

- · public funds are falsely or illicitly claimed by those who are unqualified;
- · public funds are excessively claimed in a false or any other illicit way;
- $\cdot$  public funds are used not in pursuant to laws or municipal ordinances or for the purposes of other than the originally stated ones; or
- · subsidies are otherwise incorrectly provided.

Sanctions include imposition/collection of additional monetary sanctions up to five times and disclosure of the names of those who excessively or repetitively claimed

#### **Protecting and Rewarding Whistleblowers**

The ACRC will contribute to the stability of people's livelihoods and a more transparent and ethical social climate by protecting and supporting people who report corruption or public interest violations.

#### **Protecting Whistleblowers**

**Personal Confidentiality:** The Protection of Public Interest Reporters Act bans disclosing or publicizing to any third party public interest whistleblower's personal information or other facts that can infer the identity of the whistleblower.

\* Proxy reporting by lawyers was introduced through the amendment of the Act to strengthen personal confidentiality of whistleblowers.

**Protection of Personal Safety:** The ACRC can request the police to take protective measures for public interest whistleblowers and their family members when they have faced or are likely to face serious danger to their lives.

**Prohibition of Personally, Administratively, and Economically Disadvantageous Measures:** Public interest whistleblowers can request the ACRC to take necessary measures to recover their original status when they face disadvantageous measures due to the reporting, such as dismissal, discharge, unpaid wages, cancelation of permit or license, or cancelation of contract.

Reduction of and Exemption from Responsibility: Public Interest whistleblowers are exempted from confidentiality obligation. Criminal punishment, disciplinary measures or administrative disposition imposed on them in relation to the case they reported can be exempted or reduced.

#### **Rewarding Whistleblowers**

Rewards, Awards, and Relief Money: When a whistleblowing directly results in the recovery of or increase in revenue of the central or local governments through penalty surcharges and others, the ACRC provides the whistleblower with a reward of up to KRW 3 billion. Even when no direct recovery of or increase in revenue followed, if the whistleblowing serves the public interest, then the reporter, with a recommendation of the relevant agency, will be awarded up to KRW 200 million by the ACRC. Also, when the report causes damages or expenses related to medical treatment, residential relocation, litigation, wage loss or other reasons, the ACRC will provide relief funds to the whistleblower.

#### **Reward Payment**

(Unit: case, KRW 1,000)

Year		Corruption Repo	rter	Public Interest Violation Reporter		
ledi	Cases	Benefits Incurred*	Rewards	Cases	Benefits Incurred*	Rewards
2008	18	2,149,407	328,175	-	-	-
2009	20	5,811,771	642,146	-	-	-
2010	23	4,505,568	603,641	-	-	-
2011	12	18,834,014	1,499,401	-	-	-
2012	40	11,131,730	1,400,444	32	147,860	28,475
2013	37	8,393,380	951,210	319	1,230,929	227,708
2014	30	6,878,647	619,347	657	2,239,585	397,340
2015	29	28,770,531	1,426,658	511	1,988,446	379,997
2016	90	23,997,537	2,275,033	2476	8,344,742	1,603,578
2017	113	26,539,641	2,108,374	1,710	11,198,923	1,976,511
2018	166	36,836,590	3,114,994	277	66,077,269	2,213,658
2019	197	28,364,346	2,312,974	211	22,254,652	1,534,593
2020	238	46,003,611	3,842,099	249	25,258,924	1,560,901
2021	485	40,862,696	3,397,868	138	10,312,828	811,290
2022	477	17,837,807	2,838,124	99	48,536,415	2,255,828

<sup>\*</sup> The amount of compensations are calculated based on the amount of the recovered or increased revenues of the State or local governments.

#### Implementation of the Improper Solicitation and Graft Act

Since 2011, with a goal of breaking the vicious cycle of improper solicitation/entertainment and corruption, the ACRC had pushed the enactment of the Improper Solicitation and Graft Act. In March 2015, the Act was passed by the National Assembly, and it took effect on September 28, 2016.

#### Scope of Application

- · Civil servants of the State and local governments, and heads and employees of public service-related organizations and public organizations
- · Heads and employees of schools of various levels and executive officers and employees of school foundations
- · Heads and employees of media companies
- · Non-public officials participating in decision making procedures of public organizations (private persons performing public duties)
- · General public who make improper solicitation or offer unacceptable financial or other advantages to a public official

#### Content of the Law

- · No one shall make an improper solicitation for any public official, etc. performing his or her duties, directly or through a third party, to handle 14 types of duties including authorization, permission, etc. in violation of laws or abuse of his/her position or power.
- · No public official and their spouse shall receive, request or promise to receive prohibited money, goods, etc.
- \* Meaning of unacceptable financial or other advantages :
  - · In case where such advantages are related to duties, all of the advantages received are defined as unacceptable advantages.
  - · In case where such advantages are not related to duties, advantages exceeding 1 million won at a time (or 3 million won in a fiscal year) are defined as unacceptable advantages.
- \* Exceptions of unacceptable financial or other advantages Advantages provided by close relatives, advantages provided uniformly in a normally accepted range by an organizer of an official event, and souvenirs or promotional goods distributed to many and unspecified persons, etc.
- · Public officials, etc. shall not receive an honorarium for a duty-related outside lecture exceeding the specified limits.

#### How Violation Reports of the Act are Processed

#### Notifying persons subject Reporter's organization, Inspection, to administrative fines, supervisory agency, Board of Notifying requesting an investigation. audit Audit & Inspection, investigative reporter of result filing an indictment, imposing investigation authority, ACRC disciplinary sanctions, etc.

#### Reports on the violation of Improper Solicitation and Graft Act

Period	Received(cases)	Handled(cases)
'16.9.28.~'17.12.31.	1,365	1,167
'18	2,862	2,692
'19	2,244	2,391
'20	1,195	1,306
'21	898	991
'22	590	599
Total	9,154	9,146

"The Improper Solicitation and Graft Act brings a new wave of integrity to Korea."

#### **Enforcing the Act on the Prevention of Conflict of Interest Related to Duties of Public Servants**

The ACRC had pushed the enactment of the Act on the Prevention of Conflict of Interest Related to Duties of Public Servants to prevent public officials from seeking private interests in relation to their duties and to secure fairness in the performance of public duties by preventing and managing conflict of interest situations. The Act was enacted in May 2021 and enforced on May 19, 2022. About two million public officials are governed by this Act.

#### Scope of Application

- · Public officials of constitutional institutions such as the National Assembly, courts, the Constitutional Court, the Election Commission, the Board of Audit and Inspection.
- · Public officials of central administrative institutions and their affiliated agencies.
- · Public officials of local governments and local councils.
- · Public officials of educational administrative institutions and national and public schools.
- · Executive officers and employees of public organizations and public service-related organizations.
- · Private persons performing public duties or the ones who acquired property/financial benefits using dutyrelated secret or undisclosed information received from public officials.

#### Content of the Law

· Public officials shall comply with ten standards of conduct, five duties of reporting and submission and five restrictions and prohibition.

	Standards	Content
	Disclosure of personally interested persons and application for evasion	If public official's duty-related persons (persons who requested specific actions or measures in performance of duties or are impacted by any advantage or disadvantage in the performance of duties) are personally related to the public official, the public official should report the fact and apply for evasion.
Reporting ·	Disclosure of ownership/ purchase of public duty-related real estate	Public officials who are working for public organizations dealing with real estate must report an ownership or purchase of real estate located in the organizations' development project by the public officials, their spouse, their lineal ascendant or descendant who share livelihood.
submission (5)	Submission of records of high-ranking officials' business activities in the private sector	High-ranking officials must submit their private sector activity records for the 3 years before their appointment, within 30 days after his appointment.
	Report of transactions with duty-related persons	Public officials must report a financial or real estate transactions or contract between their duty-related persons and the public officials themselves, their spouse, their direct lineal ascendants/descendants.
	Report of personal contact with retirees	Public officials must report a personal contact such as playing golf, traveling, or gambling together with a retiree of his organization who is related to his public duty.
	Restriction on duty-related outside activities	Public officials should not get paid for the provision of labor or advice, duty-related knowledge or information to a duty-related persons.
	Restriction on employment of family members)	Public institutions should not employ family members of their high-ranking officials, HR officers, etc. without an open competitive recruitment process.
Restriction- prohibition (5)	Restriction on private contracts	Public institutions should not make private contracts with their high-ranking officials, officials in charge of contract affairs, their family members, or a corporation that is represented by them or their family members.
(0)	Prohibition of private use of or profiting from public institutions' goods	Public officials should not personally use or profit from goods, etc. owned or rented by public institutions.
	Prohibition of use of job secrets, etc	Public officials should not get valuables or property benefits using their organization's secrets or undisclosed information, and they should not allowing a third party to do so.

- · If public officials violate the 10 standards of conduct, they could be subject to punishments such as criminal penalty, administrative fines, disciplinary measures, or return of illicit benefits.
- ·Anyone who has become aware of the violation of the Act can report the fact to the public organization where the violation occurred, the organization's supervisory agency, the Board of Audit and Inspection, investigative agency, or the ACRC.

## 02

#### **Handling Civil Complaints**

#### **Investigating & Handling Civil Complaints**

#### Counseling & Application

Anyone (including a foreigner living in Korea) can file a complaint in person or through a representative via personal visit, mail, internet, or fax. Subject matters of complaints are illegal or unfair dispositions, or citizen inconveniences or burden imposed by administrative agencies. The ACRC, to reach out to citizens and resolve their grievances on site, is running Complaint Handling Outreach Bus which is an onsite outreach complaint handling bus. In addition, to provide counseling on complex complaints which involve multiple government agencies in one place at one time, the commission is operating the Government Complaints Counseling Center as well.

#### Investigation

When receiving a complaint, ACRC investigators may request the administrative agency against which the complaint is made (the respondent) to give explanation on the case on its side and to submit relevant materials and documents. Investigators of the ACRC may ask attendance and testimony to the complainant, stakeholders, the respondent, etc.; conduct an on-site investigation of related premises or facility; and request advice from experts.

#### **Deliberation & Decision-Making**

When an investigation is completed, the ACRC makes a deliberation on the case based on opinions submitted and the investigation result. When deemed necessary, it issues a recommendation or expresses opinions asking imposition of administrative dispositions, or corrective measures on the relevant laws and regulations.

#### **Notification**

Upon completion of a deliberation, the ACRC should immediately notify its decision to the parties concerned (reporter and the respondent). Within 30 days after receiving the notification, the administrative agency concerned (the respondent) should send to the ACRC its implementation status of the commission's recommendation. If the administrative agency was unable to carry out the ACRC's decision, they must provide the reason to the ACRC.

#### Civil Complaints Cases

Year	Received	Handled	Accepted	Acceptance rate (%)*
2009	29,716	28,163	4,821	20.0
2010	32,584	34,510	4,033	14.9
2011	32,351	32,082	3,014	15.0
2012	34,347	33,242	3,620	17.9
2013	31,681	32,737	3,667	18.0
2014	30,038	28,744	3,495	21.4
2015	31,308	31,112	3,195	23.9
2016	30,252	30,625	3,031	27.0
2017	26,533	26,623	2,586	25.2
2018	30,712	29,609	2,413	24.7
2019	56,189	42,031	2,452	20.7
2020	49,390	54,657	2,245	18.5
2021	56,423	58,880	2,120	21.1
2022	37,569	37,598	2,422	25.7

<sup>\*</sup> Acceptance rate: The ratio of the number of cases accepted to the number of civil complaints filed in total, excluding simple queries.

"The ACRC, as National Ombudsman institution, investigates and handles complaints filed by citizens."

#### Digital Platform for the People's Rights and Interests

#### e-People(www.epeople.go.kr)

To facilitate communication between the people and the government, the ACRC operates 'e-People', a pangovernment public communication portal which integrates public communication services such as civil complaints, proposals and policy participation that were previously managed individually by administrative agencies, under the slogan 'No voice left unheard'.

e-People received the UN Public Service Awards in 2011, ranked first in terms of online participation index by the UN e-government assessment in 2010, 2012 and 2014, and awarded Asia-Pacific Stevie Awards in 2020.

e-People that has various functions to communicate with the public, such as civil complaints, proposals, policy participation and budget waste reporting is the representative government digital communication system where 1,117 central administrative agencies, local governments and public institutitons are closely connected, and 10 million civil complaints are resolved in 2022. To protect the rights and interests of Korean nationals residing abroad and foreigners residing in Korea, civil petitions can be filed in 14 foreign languages, including English and Chinese, and the responses can be received in those languages.

#### e-People Platform

#### Institutions Govt. Portal Present (1,117) Central agencies 🔲 규제개혁신문고 Education Future Agencies under entral 보지만

Citizens

#### e-People usage procedure



In the future, e-People will change the existing way of handling individual petitions to resolve problems fundamentally using information and communication technologies(ICTs).

- e-People will continue to improve the quality and efficiency of public service,
- by using new intelligent information technologies such as AI automatic answering system and big data analysis etc.
- by increasing the number of agencies to be connected.
- by laying a legal foundation for e-People.

"e-People is the government representative public communication platform that provides one-stop service such as civil complaints, proposals, policy participation and budget waste reporting to citizens"

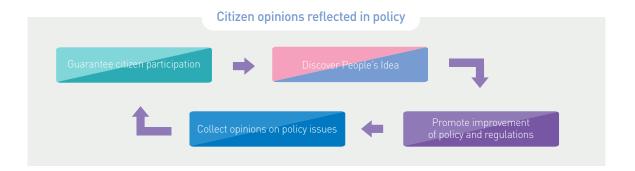
#### People's Idea Box (www.epeople.go.kr/idea)

On March 28, 2016, the ACRC opened People's Idea Box (idea.epeople.go.kr), a representative portal for the people to participate in the policy-making process, using the opinions for institutional improvements and analyzing big data of civil complaints in a scientific way. The aim was to overcome the problems of the existing communication system and create a 'next-generation public-private communication space', where policy alternatives can be found through collective intelligence.

People's Idea Box allows users to selectively mix functions, such as idea presentation, discussion, voting, and survey, as they need and to find the best policy alternative that can be satisfied by the majority. Using the platform, citizens can directly participate in the process of designing pubic policies and administrative services as a policy prosumer.







In the first year of its launch, 2016, around 16,000 people used the platform. Six years later, in 2022, that number increased 33 folds to 525,000, solidifying its position as a representative public participation platform.

※ Participation by year: 231,000 people in 2019 → 358,000 in 2020 → 445,000 in 2021 → 525,000 in 2022

With the platform, people's idea will be advanced through expert consultations and then be reflected in public policies. The ACRC will continue to make various efforts to make the platform become a crucial channel for collecting public opinions when the government establishes important policies.

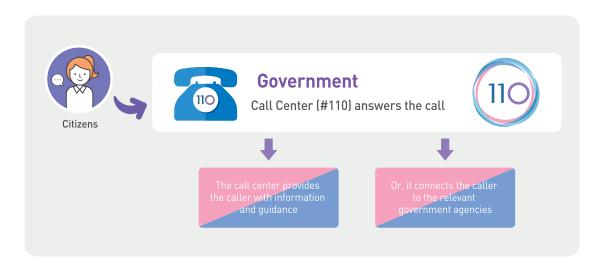
#### Integrated Government Call Center (#110)

Those who want to get information on civil services can call 110 to the Integrated Government Call Center anywhere in Korea. By operating the government call center, the ACRC guarantees civil service users the maximum level of conveniences and is taking a lead in providing user-oriented administrative service.

All central administrative agencies, all local autonomous bodies, and all metropolitan & provincial offices of education, and main public agencies are linked to the government call center. By calling 110, citizens can receive information and counselling on any government service.

One of the strength of this call center is that it is answered by a counsellor, not an automatic response system (ARS). Counselors handle simple inquiries, while complicated issues related to taxation, labor and welfare are directed to a specialized call center or the relevant agency's complaint handling system.

When a caller making a complaint is directed to the relevant agency, the complaint details are transferred electronically with them, so the caller does not need to explain the complaints again.



"For any inquiries about government service, just call 110 anywhere in Korea."

#### **Big Data Analysis**

For the big data analysis of more than 13 million civil complaints and policy proposals accumulated every year, the internal data of the ACRC (administrative appeals, anti-corruption, Integrated Government Call Center(#110), etc) and the external data of the public and private sectors will be connected and integrated in the form of clouds to be analyzed.

In this way, it will strengthen the function of forecasting civil complaints that predicts and alerts the damages directly related to the people's daily lives.



## 03

### **Handling Administrative Appeals**

#### **Administrative Appeals System**

#### **Overview**

Administrative appeal is predicated upon the Constitution of the Republic of Korea and Administrative Appeals Act. It has two objectives of civil right remedy and administrative control. In other words, its purpose is to ensure legitimacy and rationality of administrative actions by protecting people's rights and interests, and providing opportunities for administrative agencies to rectify their own wrongdoing.

The rulings on administrative appeals are legally binding on administrative agencies, thereby resulting in strong effect as a civil right remedy. It is free, quick, and simple comparing to administrative lawsuits.

#### History

Administrative appeal has its root in the Petition Act which was enacted on August, 1951. Its function and role were limited at the time, but the Administrative Appeals Act, which was enacted and enforced in 1985, applied judicial proceedings as required by the Constitution and went through multiple revisions to enhance independence and fairness.

#### **Subject Matters and Categories**

Subject matters of administrative appeals are disposition or omission rendered by an administrative agency, or any other exercise of public power or the refusal of such excercise. Administrative agency includes the State, local governments, and public organizations or individuals delegated to administrative authority. Administrative appeals are classified as follows:

- · Appeals for revocation: Appeals for revocation or modification of an illegal or unjust disposition rendered by an administrative agency
- · Appeals for affirmation of nullity, etc.: Appeals for affirmation of the effectiveness or ineffectiveness of a disposition, or the existence or non-existence of such disposition
- · Appeals for performance of obligation: Appeals for a specified disposition against an illegal or unjust disposition of refusal or omission

#### **Procedure**



#### **Application Submission**

An appellant can file administrative appeals to the disposition agency or the ACRC via personal visit, mail, or internet (www.simpan.go.kr).

#### **Answer Submission**

The disposition agency writes an answer regarding the appellant's appeals within ten days after receiving his/her application, and presents it to the ACRC. Then, the Central Administrative Appeals Commission (CAAC) of the ACRC sends the answer to the appellants to enable them to understand the opinion of the disposition agency concerned.

#### **Deliberation & Adjudication**

The CAAC commissioners thoroughly examine statements of both sides. After deliberating and adjudicating whether the appealed case is illegal or unfair, the ACRC notifies the results to the disposition agency and the appellant with a written document.

#### **Examples of Administrative Appeals**

- · Dispositions of various types of authorization, permission, license, or qualification
- · Administrative dispositions of driving licenses under the Road Traffic Act
- · Dispositions of imposing business suspension, penalty surcharge, or charge
- · Dispositions of rejecting welfare nomination as patriots and veterans
- · Dispositions of imposing & collecting social insurance contributions such as employment insurance
- · Dispositions of disqualification for a national examination
- · Dispositions of refusing to disclose administrative information
- · Dispositions relating to immigration and visit period

#### **Cases of Administrative Appeals**

Year	Submitted	Handled	Deliberated in favor of appellants	Rates in favor of appellants (%)
2012	25,317	24,987	3,983	15.9
2013	25,570	24,405	4,227	17.3
2014	25,301	25,270	4,131	16.3
2015	24,425	24,947	3,933	17.4
2016	26,730	26,080	3,901	16.8
2017	27,918	25,775	3,584	15.8
2018	23,043	25,153	3,814	16.8
2019	24,076	21,534	1,567	10.0
2020	22,367	22,727	1,573	8.6
2021	19,229	18,873	1,710	10.3
2022	21,467	21,159	1,468	8.6

#### **Central Administrative Appeals Commission**

The Central Administrative Appeals Commission (CAAC) manages administrative appeals system of Korea and was established and has been operated under the ACRC. The CAAC consists of not more than 50 members including vice-ministerial Chairman, standing commissioners, non-standing commissioners. It reviews and makes a ruling on appeals against those dispositions rendered by central administrative agencies and its affiliated institutions, mayors of a Special Metropolitan City or a Metropolitan City, and Provincial Governors.

The CAAC surveys and counsels other administrative appeals agencies, provides training and publicity regarding administrative appeals system, and makes recommendations on unreasonable statutes which may induce illegal and unfair administrative actions.

#### **State-appointed Agent System**

Administrative appeal is "a system for the people", which is aimed to better relieve citizens' rights and interests by giving citizens an easy access to it and to ensure self-control of administration. Recently, as cases with complex factual and legal relationship increased, the rate of lawyers or agents employed in administrative appeals has continued to grow. However, legal or institutional support for appellants who can not afford such an expert service is relatively insufficient.

To help them receive legal support, the court-appointed agent system was introduced for administrative appeals on November 1, 2018. The CAAC supports the appointment of a court-appointed agent for the appellants who cannot afford an agent due to economic difficulties.

#### **Administrative Appeal Mediation System**

With the society fast changing, public administrations' responsiveness has weakened. This has led to increased disputes between citizens and administrative institutions in various types and scale. Administrative appeals have some limitations in resolving them, however, because they cannot rule in favor of the both sides.

To remove such limitations, mediation system was introduced for administrative appeals on May 1, 2018. Using the system, a big and serious cases that have strong conflict between the parties or have a farreaching impact can be resolved smoothly through settlement by both parties with optimal results, while simple and trivial cases can be swiftly resolved.

#### **Indirect Compulsion System**

If the CAAC delivers a ruling in favor of an appellant who filed an appeal against illegal or improper rejection dispositions by an administrative authority, the disposition agency should implement the CAAC's ruling. However, there are some cases where they do not follow the CAAC's ruling.

To resolve this problem, Indirect Compulsion System was introduced in 2017. The system forces the appellee to compensate the appellant for the period that it did not implement the ruling. This helps increase the effectiveness of the ruling and better protect the rights and interests of the public.

#### **Online Administrative Appeals System**

People who intend to file an administrative appeal can send an application and check the status and result of the ruling on their case through online administrative appeals (www.simpan.go.kr) on computers or mobile phones from anywhere at anytime.

If they select a disposition agency, the online system automatically transfers their appeal to the relevant administrative appeals agencies. With an input of a couple of relevant information, they can see similar appeal cases and the ruling and check the most relevant cases.

Administrative appeals agencies and disposition agencies linked to the online system can handle all the related works using the system including the receipt of applications, forwarding, and drafting documents.

In addition, the ACRC provides the "EASY Administrative Appeals Service" which gives customized ruling examples by administrative disposition type and helps appellants to fill out a written appeal automatically if they input certain information when claiming the administrative appeal.



"Administrative appeals system ensures legitimacy and rationality of administrative actions."

## **Institutional Improvement**

The ACRC recommends institutional improvement to the head of public institutions to prevent corruption based on its analysis on the occurrence of corrupt acts. If it finds in the process of handling complaints that there should be improvement on laws, regulations, or policies that may cause inconvenience for citizens, the ACRC issues to the head of the relevant public institutions a recommendation on reasonable improvement or expresses its opinion on such laws, regulations, or policies.

The commission also ensures the effective implementation of its recommendations by receiving from the public institution the implementation results of the recommendation and then checking and reviewing the implementation status.

#### Institutional Improvement System Chart

- (Complaint analysis) Analyzing complaints received through e-People, 110 Government Call Center, Presidential Secretary Office
- (National agenda) Identifying issues supportive of national agenda, reform agenda, etc.
- $\cdot \text{(Derived issue) Identifying issues in the course of handling reports of corruption or public interest}$ violations, complaints, and administrative appeals cases
- (Requested issues) Reflecting requests of institutional improvement made by local government, public institution, private organization
- (Audited materials) Referring materials of the National Assembly or the Board of Audit & Inspection
- (Media report) Referring reports by various media

feedback

- On-site or written survey (frequently or by design)
- Anti-corruption Initiative Assessment, comprehensive assessment of civil petition handling service (yearly) Consulting of institutional improvement, reporting to the cabinet meeting, proposals to National Assembly etc.

#### Institutional Improvement Recommended Cases

Year	Civil complaint area	Anti-corruption area	Total	Acceptance rate(%)
2010	69	22	91	92.3
2011	48	33	81	92.6
2012	44	22	66	97.0
2013	50	16	66	98.5
2014	45	18	63	96.8
2015	43	14	57	98.2
2016	42	10	52	96.2
2017	36	24	60	96.7
2018	33	25	58	100
2019	48	13	61	98.4
2020	36	12	48	100.0
2021	18	18	36	100.0
2022	25	12	37	97.3

"The ACRC is dedicated to identifying and improving ineffective administrative systems."

## 05

#### **Enhancing International Cooperation**

The Korean government has been participating in international initiatives to prevent and combat corruption and remove people's grievances.

As the anti-corruption control tower of the Korean government, the ACRC faithfully implements international conventions against corruption such as the UN Convention against Corruption and the OECD Anti-Bribery Convention, and actively participates in the initiatives of international anti-corruption rounds such as the G20 and APEC.

The ACRC is also continuously sharing Korea's successful anti-corruption policies and its experiences of anti-corruption policy implementation with countries in need through technical assistance on anti-corruption policies in partnership with the United nations Development Program (UNDP). The commission is actively engaged in bilateral cooperation as well with anti-corruption agencies of many countries around the world.

When it comes to its Ombudsman function, as a member of the International Ombudsman Institution and the Asian Ombudsman Association, the ACRC plays an important role in promoting cooperation and change in the global ombudsman community. At the Ombudsman World Conference, the commission introduced its onsite-oriented grievance handling as an advanced ombudsman agency. As such, the ACRC is actively engaging in policy exchanges with ombudsman institutions throughout the world.

The ACRC, with its Ombudsman and anti-corruption functions, will continue to cooperate with the international communities more closely and constructively.

## ACRC mascots Chung-begi and Gwon-igi

Chung-begi was modeld after Eosa who protected people's rights and interests by hiding his identity and detecting corrupt officials in the local governments, Gwon-igi was modeled after Shinmungo and Gyeokjang that allowed citizens to report corrupt officials and maladministration directly to the king by hitting the drum or on King's parade.

"Chung-begi" is young Eosa Park Munsu, an undercover royal inspector of Josun Dynasty, who is living in the modern Korea with his vigor and passion to make a fair and clean society together with Korean people. Chung-begi will be always at the front of protecting citizens from corruption and unfairness.

"Gwon-igi" represents Shinmungo of Josun Dynasty directly listening voices of people and communicating with people. Gwon-igi will protect rights and interest of people serving as the Ombus role.



"We will strengthen our cooperation with the international community"



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